

PATENT APPLICATION FEE DETERMINATION RECORD  
Effective December 29, 1999

Application or Docket Number

01615772

**CLAIMS AS FILED - PART I**

(Column 1) (Column 2)

FOR	NUMBER FILED	NUMBER EXTRA
BASIC FEE		
TOTAL CLAIMS	32 minus 20 =	12
INDEPENDENT CLAIMS	4 minus 3 =	3
MULTIPLE DEPENDENT CLAIM PRESENT		

\* If the difference in column 1 is less than zero, enter "0" in column 2

**CLAIMS AS AMENDED - PART II**

(Column 1) (Column 2) (Column 3)

AMENDMENT A	CLAIMS REMAINING AFTER AMENDMENT	MINUS	HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA
			**	=
Total	26	Minus	32	=
Independent	6	Minus	6	=
FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM				

AMENDMENT B	CLAIMS REMAINING AFTER AMENDMENT	MINUS	HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA
			**	=
Total	32	Minus	32	=
Independent	4	Minus	6	=
FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM				

AMENDMENT C	CLAIMS REMAINING AFTER AMENDMENT	MINUS	HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA
			**	=
Total		Minus	**	=
Independent		Minus	***	=
FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM				

\* If the entry in column 1 is less than the entry in column 2, write "0" in column 3.

\*\* If the "Highest Number Previously Paid For" IN THIS SPACE is less than 20, enter "20."

\*\*\* If the "Highest Number Previously Paid For" IN THIS SPACE is less than 3, enter "3."

The "Highest Number Previously Paid For" (Total or Independent) is the highest number found in the appropriate box in column 1.

SMALL ENTITY OTHER THAN  
TYPE OR SMALL ENTITY

RATE	FEES	RATE	FEES
	345.00		690.00
OR X\$ 9=		OR X\$18=	240
OR X39=		OR X78=	184
+130=		+260=	
TOTAL		OR TOTAL	1140

SMALL ENTITY OTHER THAN  
OR SMALL ENTITY

RATE	ADDITIONAL FEE	RATE	ADDITIONAL FEE
X\$ 9=		X\$18=	
X39=		X78=	
+130=		+260=	
TOTAL ADDIT. FEE		OR TOTAL ADDIT. FEE	

RATE	ADDITIONAL FEE	RATE	ADDITIONAL FEE
X\$ 9=		X\$18=	
X39=		X78=	
+130=		+260=	
TOTAL ADDIT. FEE		OR TOTAL ADDIT. FEE	

RATE	ADDITIONAL FEE	RATE	ADDITIONAL FEE
X\$ 9=		X\$18=	
X39=		X78=	
+130=		+260=	
TOTAL ADDIT. FEE		OR TOTAL ADDIT. FEE	

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PATENT APPLICATION  
ATTORNEY DOCKET NO. AUS9-2000-0323-US1

IN THE  
UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s): Carroll et al. Confirmation No.: 3973  
 Application No.: 09/615,772 Examiner: M. Heneghan  
 Filing Date: July 13, 2000 Group Art Unit: 2134  
 Title: A SYSTEM AND METHOD FOR ALERTING COMPUTER USERS OF DIGITAL SECURITY INTRUSIONS

Mail Stop RCE  
 Commissioner for Patents  
 PO Box 1450  
 Alexandria, VA 22313-1450

REQUEST FOR CONTINUED EXAMINATION (RCE) 37 CFR 1.114

Subsection (b) of 35 U.S.C. 132, effective on May 29, 2000, provides for continued examination of an utility or plant application filed on or after June 8, 1998.  
 See The American Inventors Protection Act of 1999 (AIPA).

Sir:

This is a Request for Continued Examination (RCE) under CFR 1.114 of the above-identified application.

*NOTE: 37 CFR 1.114 is effective on May 29, 2000. If the above application was filed prior to May 29, 2000, applicant may wish to consider filing a continued prosecution application (CPA) under CFR 1.53(d) (PTO/SB/29) instead of a RCE to be eligible for the patent term adjustment provisions of the AIPA. See Changes to Application Examination and Provisional Application Practice, Interim Rule, 65 Fed. Reg. 14865 (Mar. 20, 2000), 1233 off. Gaz. Pat. Office 47 (Apr. 11, 2000), which established RCE practice.*

Submission under 37 CFR 1.114

- (X) Previously submitted:
  - (X) Consider the amendment(s)/reply under 37 CFR 1.116 previously filed on July 14, 2004  
 (Any unentered amendment(s) referred to above will be entered).
  - ( ) Consider the arguments in the Appeal Brief or Reply Brief previously filed on \_\_\_\_\_.
  - ( ) Other \_\_\_\_\_
- ( ) Enclosed:
  - ( ) Amendment/Reply
  - ( ) Affidavit(s)/Declarations(s)
  - ( ) Information Disclosure Statement (IDS)
  - ( ) Other \_\_\_\_\_

Miscellaneous

- ( ) Suspension of action is requested under 37 CFR 1.103(c) for a period of \_\_\_\_\_ months.  
 The fee for this Suspension is (37 CFR 1.17(i)) \$130.00
- ( ) Other \_\_\_\_\_

11/03/2004 KHATSON 00000003 090447 09615772

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CONTINUED EXAMINATION TRANSMITTAL  
(RCE) (37 CFR 1.114) (continued)

ATTORNEY DOCKET NO. AUS9-2000-0323-US1

 RCE filing fee \$770.00 A Petition for Extension of Time

<input type="checkbox"/>	one month	\$110.00
<input type="checkbox"/>	two months	\$420.00
<input type="checkbox"/>	three months	\$950.00
<input type="checkbox"/>	four months	\$1480.00

Please charge to Deposit Account 09-0447 the sum of \$770.00. At any time during the pendency of this application, please charge any fees required or credit any overpayment to Deposit Account 09-0447 pursuant to 37 CFR 1.25. Additionally please charge any fees to Deposit Account 09-0447 under 37 CFR 1.16 through 1.21 inclusive, and any other sections in Title 37 of the Code of Federal Regulations that may regulate fees.

 A duplicate copy of this transmittal letter is enclosed.

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Alexandria, VA 22313-1450.

Date of Deposit: \_\_\_\_\_

OR

I hereby certify that this paper is being transmitted to the Patent and Trademark Office facsimile number on (703) 872-9306 Aug. 23, 2004.

Number of pages: 4

Typed Name: Edmond A. DeFrank

Signature: Edmond A. DeFrank

Respectfully submitted,

Carroll et al.

By Edmond A. DeFrank

Edmond A. DeFrank

Attorney/Agent for Applicant(s)

Reg. No. 37,814

Date: Aug. 23, 2004

Telephone No.: (818) 885-1575